

Surplus Lines Carriers Filing Requirements for Kentucky

Surplus Lines Carriers writing business in Kentucky must designate a person to receive legal process and file an Annual Statement.

Form 800 - Kentucky Designation of Person To Receive Legal Process

- Form 800 shall be filed if business is being written in Kentucky.
- Form 800 shall be updated as necessary.
- Form 800 is available at:
<http://doi.ppr.ky.gov/kentucky/Documents/FinancialStandards/Form800rev704.pdf>
- No filing fee is required with Form 800.

If an Annual Statement is filed electronically with the NAIC:

- The Jurat Page shall be filed with the Kentucky Department of Insurance.
- It shall be accompanied by a \$100 filing fee, Remittance Form, and a copy of the current Form 800.
- Checks shall be payable to the Kentucky State Treasurer.
- The Remittance Form is available at:
<http://doi.ppr.ky.gov/kentucky/Documents/FinancialStandards/AppReinSurplusLinesCkRemitFormrev704.pdf>

If an Annual Statement is not filed with the NAIC:

- The Annual Statement shall be filed with the Kentucky Department of Insurance.
- It shall be accompanied by a \$100 filing fee, Remittance Form, and a copy of the current Form 800.
- Checks shall be payable to the Kentucky State Treasurer.
- The Remittance Form is available at:
<http://doi.ppr.ky.gov/kentucky/Documents/FinancialStandards/AppReinSurplusLinesCkRemitFormrev704.pdf>